This coport is required by law (7 USC 2143). Failure to report according to the regulations can result in an order cease and desist and to be subject to penalties as provided for in Section 2150.

See reverse side for additional information.

Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

REGISTRATION NO. CUSTOMER NO. 61-R-0001 897

FORM APPROVED OMB NO 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT) 30-2001 RCVD

HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)

LINIVERSITY OF LOUISVILLE.

| Continue | Con

UNIVERSITY OF LOUISVILLE 2301 S. THIRD STREET LOUISVILLE, KY 40292 (502) 588-5268

REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

A. Animals Covered By The Animal Welfare Regulations	8. Number of animals being bred, conditioned, or held for use in teaching, lesting, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or lests were conducted involving no pain, distress, or use of pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animats upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D+E)
4. Dogs	1		15		15
5. Cats	2		18		18
6. Guinea Pigs	2	2	63		65
7. Hamsters	101	180	100		280
8. Rabbits	32	16	849		865
9. Non-Human Primates					<u></u>
10. Sheep			1		1
11. Pigs			71		71
12. Other Farm Animals					
Calf	1		10		10
13. Other Animals					
Shrew	13	1	21		22
Frog		166			166
Goat			16		16

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and USS

aspects of Brillian cert and ever		
(Chief Executive	BY HEADQUARTERS RESEARCH FACILITY OFFICIAL e Officer or Legally Responsible Institutional official)	
	above is true, correct, and complete (7 U.S.C. Section 2143)	
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED
HOLD ALLAND	Joel A. Kaplan, M.D. Vice President for Health Affairs	11/26/01

APHIS FORM 7013 (AUG 91) Replaces VS FORM 18-23 (Oct 88), which is obsolete

Attachment 1 University of Louisville USDA Annual Report of Research Facility

3. Facility Locations

Research Resources Center Health Sciences Center

Medical School "A" Tower Health Sciences Center

Medical Dental Research Building Health Sciences Center

Brown Cancer Center Health Sciences Center

Baxter Biomedical Research Building Health Sciences Center

Life Sciences Building Belknap Campus

APHIS Form 7023 Site List

The following sites have been reported by the facility.

Registration Number:

61-R-0001

Customer Number:

897

Facility:

UNIVERSITY OF LOUISVILLE 2301 S. THIRD STREET

LOUISVILLE, KY 40292

(502) 588-5268

UNIVERSITY OF LOUISVILLE 2301 S. THIRD STREET LOUISVILLE, KY 40292 T'is repolits required by taw (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

See reverse side for additional information

Interagency Report Control No 0180/DQA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO.

61-R-0002 Cust. No. 898

FORM APPROVED OMB NO. 0579-0036

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA include Zip Code)

University of Kentucky Chandler Medical Center, H41A Lexington, KY 40536-0293

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

See Attached List

Animals Covered By The Animal Welfare Regulations	8. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery bull included by tused for such purposes.	C Number of animals upon which teaching, research, experiments, or lests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	F. TOTAL NO. OF ANIMAL (Cols. C - D + E)
4. Dogs			58		58
5. Cats			17		17
6. Guinea Pigs			148		148
7. Hamsters			224		224
3. Rabbits			147		147
9. Non-human Primates			101		101
10. Sheep			0		0
II. Pias			135		135
12. Other Farm Animals			0		0
13. Other Animals					
Gerbil			427		427
					

1) Professionally acceptable standards governing the care, treatment, and use of animals, including approxiate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.

- 2). Each principal investigator has considered alternatives to painful procedures
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarion for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

(Chief Executive Office	ADQUARTES RESEARCH FACILITY OFFICIAL er or Legally Responsible Institutional Official) es true, correct, and complete (7 U.S.C. Section 2143)	
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	James A. Boling, Ph. D. Vice President for Research	DATE SIGNED

APHIS FORM 7023 (AUG 91) (Replaces VS FORM 18-23 (OCT 88), which is obsolete)

ATTACHMENT

"ANNUAL REPORT OF RESEARCH FACILITY"

University of Kentucky Registration No. 61-R-0002 Customer Number 898

Location of Research Facilities

- Medical Center (includes the following)
 Main Facility
 College of Pharmacy
 *Sanders Brown Facility
 Spindletop Facility
- *Tobacco and Health Research Institute
- *W.P. Garrigus Building
- Gluck Equine Research Center
- Wenner-Gren Building
- *Kastle Hall
- *Thomas Hunt Morgan Building
- *Animal Research Center-Beef Intensive Research Building

All facilities are in Lexington, KY, on the University of Kentucky Campus

*No covered species were housed in these facilities between October 1, 2000 through September 30, 2001.

See reverse side for additional information.

Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 61-R-0004 CUSTOMER NO. 888

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)

MOREHEAD STATE UNIVERSITY

UPO 995, 901 GINGER HALL

UPO 995, 901 GINGER HALL MOREHEAD, KY 40351 (606) 783-2010

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing MSU Veterinary Technology Program
(Derrickson Agricultural Complex)

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs	0	0	92	0	92
5. Cats	0	0	31	0	31
6. Guinea Pigs	0	2	0	0	2
7. Hamsters	0	0	0	0	0
8. Rabbits	0	0	11	0	11
9. Non-Human Primates	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	0	0	0
12. Other Farm Animals	0	0	0	0	0
13. Other Animals					
Pigeons	0	12	0	0	12

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquitizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

(Chief Executive	Y HEADQUARTERS RESEARCH FACILITY OFFICIAL Officer or Legally Responsible Institutional official) above is true, correct, and complete (7 U.S.C. Section 2143)	***
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print) Michael R. Moore	DATE SIGNED
millemore	Provost and Executive Vice President	11-12-01

APHIS FORM 7023 (AUG 91)

(Replaces VS FORM 18-23 (Oct 88), which is obsolete

See reverse side for (

Interagency Report Control No 0190-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 61-R-0008

include Zip Code)

CUSTOMER NO.

FORM APPROVED OMB NO 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

11-05-2001 RCVD

COLLEGE FARM ROAD MURRAY, KY 42071

MURRAY STATE UNIVERSITY COLLEGE FARM ROAD MURRAY, KY 42071

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA.

REPORTING FACILITY (List all locations where animals were noused or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing A. Carman Animal Health Center

Breathitt Veterinary Center

Blackburn Science

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred. conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which leaching, research, experiments, or lests were conducted involving no pain, distress, or use of pain-relieving drugs.	Number of animals upon which experiments; leaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the leaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	F. TOTAL NO OF ANIMALS (Cols. C + Ü + E)
4. Dogs	15	0	15	0	_15
5. Cats	10	0	10	0	10
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-Human Primates					
10. Sheep			.,		
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
House Mice	0	145	78	0	223
White footed Mice	72	0	77	0	77
ASSURANCE STATEMENTS					

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

(Chief Executive	Y HEADQUARTERS RESEARCH FACILITY OFFICIAL Officer or Legally Responsible Institutional official) bove is true, correct, and complete (7 U.S.C. Section 2143)	
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED
Gange Soul	Gary Brockway, Provost Murray State University	10/29/0

APHIS FORM 7023 (AUG 91) (Replaces VS FERM 18-23 (Oct 88), which is obsolete

See reverse side for additional information.

Interagency Report Control No 0180-DOA-AN

UNITED TATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 61-R-0101 SUSTOMER NO. 890

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

 HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)

CLINICAL DIVISION OF TROVER CLINIC FOUNDATION, INC

200 CLINIC DRIVE MADISONVILLE, KY 42431 (502) 825-7269

3. REPORTING FACILITY (List ail locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A.

B. Number of animals being bred, animals being bred, conditioned, or By The Animal Welfare Regulations

Welfare Regulations

B. Number of animals upon which teaching, research, surgery or tests were conducted involving accompanying pain or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would interpretation of the teaching, research.

A. Animals Covered By The Animal Weifare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs			4		4
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-Human Primates				,	
10. Sheep		-			
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
•					
ASSURANCE STATEMENTS					-

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal rare and use

aspects of animal pare and use.						
CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional official) I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)						
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED				
July 1 Etman	FRANKLIN TI STEVENSON ADMINISTRATOR FOR RISIC NIANAGEMENT	11-29-2001				

APHIS FORM 7023 (AUG 91)

(Replaces VS FORM 18-23 (Oct 88), which is obsolete

APHIS Form 7023 Site List

The following sites have been reported by the facility.

Registration Number:

61-R-0101

Customer Number:

890

Facility:

CLINICAL DIVISION OF TROVER CLINIC FOUNDATION, INC

200 CLINIC DRIVE

MADISONVILLE, KY 42431

(502) 825-7269

PENNYRILE ANIMAL CLINIC 2450 NORTH MAIN STREET MADISONVILLE, KY 42431

TROVER CLINIC FOUNDATION BLDG. 435 N. KENTLUCY AVE. MADISONVILLE, KY 42431

CIX 1

This report is required by law (2 USC 21.43) Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

Interagency Report Control No 0180-DQA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO . 61-R-102 #8217

FORM APPROVED OMB NO 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA include Zip Code)

WESTERN KENTUCKY UNIVERSITY 1, BIG RED WAY

BOWLING GREEN, KY 42101

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or noid for these our poses. Attach additional

FACILITYLOCATIONS (Sites)

TCNW 214 14th AND CHESTNUT, BOWLING GREEN, KY 42101

A. Ariimals Covered By The Anima Welfare Regulations	B. Number of animals being bred, conditioned, or held loruse in teaching, testing, experiments, research, or surgery but not yet used for such purposes	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate aneathetic, analigesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching experiments, research, surgery, or lests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate aneathetic, analysist, or ranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, of tests, (An explenation of the procedures producing pain or distress in those animals and the reasons such drugs were not used must be attached to this report).	F. TOTALNO OF ANIMALS (COLE. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits	0	0	0	0	0
9. Non-human Primates					
10. Sheep					
11., Pigs					
12. Other Farm Animals	-	<u>.</u>			
13 Other Animals					

¹⁾ Professionally acceptable standards governing the care, treatment, and use of animals including appropriate use of anesthetic, analgasic, and tranquilizing drugs, prior to, during and following actual research, teaching, testing, surgery, or experimentation were followed by this research lacitity

(Chief Executive Officer of	OUARTERS RESEARCH FACILITY OFFICIAL or Legally Responsible Institutional Official) rus, correct, and complete (7 U.S.C. Section 2143).	
SIGNAPORE ORCEGOR INSTITUTIONAL OFFICIAL APHIS FORM 7023 (Bediscas VS FORM 18-23 (OCT 88) union	NAME & TITLE OF CEO OR INSTITUTIONAL OFFICIAL (Type or Print) Dr. Phillip E. Myers Dir. Sponsored Programs	12/7/01

(AUG 91)

(Replaces VS FORM 16-23 (OCT 88) which is obsolete

²⁾ Each principal investigator has considered alternatives to painful procedures.

³⁾ This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is estached to this annual report, in addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.

⁴⁾ The attending veterinariantor this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

919 716 5696 P.05/09

additional information.

Interagency Report Control No 0180-00A-AN

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150. UNITED STATES DEPARTMENT OF AGRICULTURE

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

1. REGISTRATION NO. 61-8-0103

CUSTOMER NO.

FORM APPROVED OM8 NO. 0579-0036

ANIMAL AND PLANT HEALTH INSPECTION SERVICE

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA. include Zip Code)

PIRLEAST INC. 3945 SIMPSON LN. RICHMOND, KY 40475

(606) 624-8111 3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(skes)

See Attached Listing

A. Animals Covered By The Animal Welfare Regulations	8. Number of animals being bood, conditioned, or field for use in teaching, leeting, seperiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, necessron, experiments, or tests were conducted involving no pain, distress, or use of pain-retieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the enimals and for which appropriate enesthetic, analgesic, or tranquillaing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the enimals and for which the use of appropriate anesthetic, analgeaic, or tranquillating drugs would have adversely affected the procedures, results, or interpretation of the reaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	F. TOTAL NO OF ANIMAL (Cols. C+ D+E)
4. Dogs					
5. Cats					<u>, — — — — — — — — — — — — — — — — — — —</u>
6. Guines Pigs					
7. Hamsters					<u>.</u>
ĉ. Rabbils	13				13_
9. Non-Human Primates					
10, Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
			<u> </u>		

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquisting drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is achering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be executed and explained by the principal investigator and approved by the institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarien for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

(Chief Executive O	HEADQUARTERS RESEARCH FACILITY Of thicer or Legally Responsible Institutional covers true, correct, and complete (7 U.S.C. Section 2	official)	
	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OF		DATE SIGNED
Lil. Downen	L.J. Lawrence, Ph.D. P.	resident & C.E.O.	12-17-01

APHIS FORM 7023 (AÚG 91)

(Replaces VS FORM 18-23 (Oct 88), which is obsolete

APHIS Form 7023 Site List

The following sites have been reported by the facility.

Registration Number:

61-R-0103

Customer Number:

899

Facility:

PTRLEAST, INC.

3945 SIMPSON LN. RICHMOND, KY 40475

(606) 624-8111

RICHMOND SITE 3945 SIMPSON LN. RICHMOND, KY 40475

See reverse side for additional information Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 61-R-0108 CUSTOMER NO. 8968

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

 HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA. include Zip Code)

TRANSYLVANIA UNIVERSITY 300 NORTH BROADWAY LEXINGTON, KY 40508

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or expenientation, or held for these purposes. Attach additional sheets if necessary.)

TRANSYLVANIA UNIVERSITY
LEXINGTON, KY 40508

Α,	B. Number of	C. Number of	D. Number of animals upon	E. Number of animals upon which teaching.	F.
Animals Covered By The Animal Welfare Regulations	animals being bred, conditioned, or held for use in teaching, testing, expenments, research, or surgery but not yet used for such purposes.	animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	TOTAL NO OF ANIMAL (Cois. C • D • E)
4. Dogs		·]
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits		*			<u> </u>
9. Non-Human Primates				·	
10. Sheep				· · · · · · · · · · · · · · · · · · ·	
11. Pigs					
12. Other Farm Animals		-7-WE-Vis.			
13. Other Animals					
Gerbils	18	9			9
					· · · · · · · · · · · · · · · · · · ·

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional official) I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)					
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED			
Peggy Shadduck Palombi	Assistant Professor of Biology	10/30/2001			

APHIS FORM 7023 (AUG 91) (Replaces VS FORM 18-23 (Oct 88), which is obsolete